

ORIGINAL

RECEIVED 1 **GUST ROSENFELD P.L.C.** 201 N. Central Avenue, Suite 3300 Phoenix, AZ 85073.3300 2001 NOV 13 P 1: 19 2 (602) 257-7473 Michael Salcido - 009828 3 AZ CORP COMMISSION DOCUMENT CONTROL 4 **Attorneys for Respondents** 5 BEFORE THE ARIZONA CORPORATION COMMISSION 6 7 WILLIAM A. MUNDELL Chairman 8 JIM IRVIN Commissioner 9 MARC SPITZER Commissioner 10 11 In the matter of: 12 RICHARD DEAN CARRINGTON Docket No. S-03215A-01-0000 a/k/a Richard Dean Frank 13 **STIPULATION** d/b/a Carrington Estate Planning Services d/b/a Carrington Investment Services 14 7600 East Doubletree Ranch Road, Ste. 130 Scottsdale, AZ 85258 15 **ROBERT WITT** Arizona Corporation Commission 16 a/k/a Harry Robert Witt DOCKETED 7600 East Doubletree Ranch Road, Ste. 130 17 Scottsdale, AZ 85258 NOV 1 3 2001 18 Respondents. DOCKETED BY 19 20 The parties agree and stipulate: 21 1 Rule R14-4-307.A., which states that a Temporary Cease and 22 Desist Order will be in effect for 120 days, is hereby waived. 23 2. The Order will remain in effect until a Final Order is rendered. 24 Respondents will abide by the Temporary Order, while in effect. 3. 25 26

DATED this 13 day of November, 2001. 1 GUST ROSENFELD P.L.C. 2 3 4 5 Michael Salcido Attorneys for Carrington 6 7 JANET NAPOLITANO Attorney General 8 9 10 11 12 BySharon A. Fox 13 Special Attorney General Moira McCarthy 14 Assistant Attorney General 15 16 **ORIGINAL** and ten (10) copies filed with: 17 **Docket Control** Arizona Corporation Commission 18 1200 W. Washington Phoenix, AZ 85007 19 **COPY MAILED** to: 20 21 Sharon Fox 22 **Securities Division** Arizona Corporation Commission 1300 W. Washington, 3rd Floor 23 Phoenix, AZ 85007-2929 24 25

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